

**Exercise 8.1 Charge Density and Current Density Operators**

In this exercise we consider some of the most fundamental properties of electromagnetism in quantum mechanics, such as gauge invariance and the continuity equation.

- a) We will first consider a system of chargeless particles for which we define the operators

$$\hat{\rho}(\mathbf{r}) := \delta(\mathbf{r} - \hat{\mathbf{r}}) \quad (1)$$

$$\hat{\mathbf{j}}(\mathbf{r}) := \frac{1}{2m} \left( \hat{\mathbf{p}} \hat{\rho}(\mathbf{r}) + \hat{\rho}(\mathbf{r}) \hat{\mathbf{p}} \right), \quad (2)$$

where  $\hat{\rho}(\mathbf{r})$  represents the particle density and  $\hat{\mathbf{j}}(\mathbf{r})$  the current density.

Show that for a given (normalizable) state  $\psi$ , the following relations hold:

$$(i) : \langle \hat{\rho}(\mathbf{r}) \rangle_\psi = |\psi(\mathbf{r})|^2, \quad (3)$$

$$(ii) : \langle \hat{\mathbf{j}}(\mathbf{r}) \rangle_\psi = \frac{\hbar}{2mi} \left[ \bar{\psi}(\mathbf{r}) \nabla \psi(\mathbf{r}) - \psi(\mathbf{r}) \nabla \bar{\psi}(\mathbf{r}) \right] \quad (4)$$

- b) Now we want to turn our interest to charged particles. As a first step, we consider a single charged particle controlled by the scalar potential  $V(\mathbf{r}, t)$  and couple it the electromagnetic field. This system can conveniently be described by the Hamiltonian

$$\hat{\mathcal{H}}(t) = \frac{1}{2m} \left( \hat{\mathbf{p}} - \frac{e}{c} \mathbf{A}(\hat{\mathbf{r}}) \right)^2 + V(\hat{\mathbf{r}}, t), \quad (5)$$

where the coupling to the vector potential  $\mathbf{A}(\mathbf{r})$  is performed by “minimal substitution”,  $\hat{\mathbf{p}} \rightarrow \hat{\mathbf{p}} - e\mathbf{A}(\hat{\mathbf{r}})/c$ .

Show that the particle density operator  $\hat{\rho}(\mathbf{r})$  and the operator  $\hat{\mathbf{J}}(\mathbf{r})$ ,

$$\hat{\mathbf{J}}(\mathbf{r}) := \hat{\mathbf{j}}(\mathbf{r}) - \frac{e}{mc} \mathbf{A}(\mathbf{r}) \hat{\rho}(\mathbf{r}), \quad (6)$$

satisfy the continuity equation in the Heisenberg-picture (subscript “ $H$ ”):

$$\frac{\partial}{\partial t} \hat{\rho}_H(\mathbf{r}, t) + \nabla \cdot \hat{\mathbf{J}}_H(\mathbf{r}, t) = 0 \quad (7)$$

Note that in general the current density is *defined* through the continuity equation, i.e. given the density of a system, one expresses its time derivative by the divergence of a vector field. This vector field then *defines* the current density of this system. Consequently, we can identify the operator  $\hat{\mathbf{J}}(\mathbf{r})$  with the current density of the system. The first part of the current density (6) is known as the “paramagnetic” current density while the second part denotes the “diamagnetic” part.

- c) From classical electrodynamics we know that the electromagnetic field comes with a gauge degree of freedom. In this part of the exercise, we want to consider the corresponding gauge transformation in quantum mechanics.

Show that the matrix elements  $\langle \psi | \hat{\mathbf{J}}(\mathbf{r}) | \varphi \rangle$  are invariant with respect to gauge transformations

$$\mathbf{A}(\mathbf{r}) \longmapsto \mathbf{A}(\mathbf{r}) + [\nabla \chi(\mathbf{r})] , \quad \phi(\mathbf{r}) \longmapsto \phi(\mathbf{r}) e^{ie\chi(\mathbf{r})/\hbar c} , \quad (8)$$

where  $\phi$  stands for both  $\psi$  and  $\varphi$  and  $\chi(\mathbf{r})$  is an arbitrary scalar function.

What is the difference between gauge transformations in classical electrodynamics and in quantum mechanics?

### Hints for Exercise 8.1:

b) In the Heisenberg-picture, an arbitrary operator  $\hat{O}_H$  is given by

$$\hat{O}_H(\mathbf{r}, t) = \hat{U}^\dagger(t) \hat{O}(\mathbf{r}) \hat{U}(t) , \quad (9)$$

where  $\hat{U}(t)$  defines the time-evolution operator.  $\hat{O}_H$  follows the equation of motion

$$i\hbar \frac{d}{dt} \hat{O}_H(t) = [\hat{O}_H(t), \hat{\mathcal{H}}_H(t)] + i\hbar \left( \frac{d}{dt} \hat{O}_S(t) \right)_H . \quad (10)$$

Use  $\hat{U}(t)$  to express the equation of motion for  $\hat{\rho}_H(\mathbf{r}, t)$  in terms of Schrödinger-picture operators.

c) First relate the off-diagonal matrix elements  $\langle \psi | \hat{O} | \varphi \rangle$  to a sum of diagonal matrix elements  $\langle \psi \pm \varphi | \hat{O} | \psi \pm \varphi \rangle$  and  $\langle \psi \pm i\varphi | \hat{O} | \psi \pm i\varphi \rangle$ . Hence, it is sufficient to show the statement for diagonal matrix elements.

### Exercise 8.2 Quantum Dot Coupled to an Electromagnetic Field

A quantum dot is a small (nano-scale) structure with quantized electronic excitations that are confined to the range of the quantum dot. Usually, quantum dots are implemented in semiconductors and consist of “potential islands” where a single (or sometimes very few) electron is localized.

We will describe a quantum dot as a spherically symmetric three-dimensional harmonic oscillator of frequency  $\omega_d$ . In this picture, every state can be written as a superposition (tensor product) of states of three independent one-dimensional harmonic oscillators,

$$|\mathbf{n}\rangle \equiv |n_x, n_y, n_z\rangle \equiv |n_x\rangle \otimes |n_y\rangle \otimes |n_z\rangle . \quad (11)$$

The energy  $E_N$  of such a state  $|\mathbf{n}\rangle$ , given by  $E_N = \hbar\omega_d(n_x + n_y + n_z + 3/2)$ , only depends on  $N = n_x + n_y + n_z$ . Therefore, the excited states,  $N > 0$ , are highly degenerate. We assume the quantum dot initially to be set up in the ground state and couple it to a polarized, monochromatic electromagnetic radiation field (not quantized), given by the vector potential

$$\mathbf{A}(\mathbf{r}, t) = \frac{1}{L^{3/2}} \left[ A \mathbf{e} e^{i\mathbf{k}\cdot\mathbf{r} - i\omega t} + A^* \mathbf{e}^* e^{-i\mathbf{k}\cdot\mathbf{r} + i\omega t} \right] . \quad (12)$$

Here  $A$  is the amplitude,  $\mathbf{e}$  the polarization vector,  $L^3$  the volume of the whole system (*not the volume of the quantum dot*) and  $\mathbf{k} = (0, 0, k)$  the wave number.

We assume that the transition probability of the system into one of the excited states  $|\mathbf{n}\rangle$  is given by Fermi's golden rule,

$$\Gamma_{(\mathbf{0}) \rightarrow (\mathbf{n})} = \frac{2\pi}{\hbar} \delta(E_n - E_0 - \hbar\omega) \frac{e^2}{L^3 c^2} |A|^2 \left| \langle \mathbf{n} | \hat{\mathbf{j}}(-\mathbf{k}) \cdot \mathbf{e} | \mathbf{0} \rangle \right|^2, \quad (13)$$

where  $\hat{\mathbf{j}}(\mathbf{k})$  represents the paramagnetic current density (cf. Exercise 8.1) in momentum space, i.e.

$$\hat{\mathbf{j}}(\mathbf{k}) = \int d^3r e^{-i\mathbf{k}\cdot\mathbf{r}} \hat{\mathbf{j}}(\mathbf{r}) = \frac{1}{2} \left[ \frac{\hat{\mathbf{p}}}{m} e^{-i\mathbf{k}\cdot\hat{\mathbf{r}}} + e^{-i\mathbf{k}\cdot\hat{\mathbf{r}}} \frac{\hat{\mathbf{p}}}{m} \right]. \quad (14)$$

a) Compute the matrix elements  $\langle \mathbf{n} | \hat{\mathbf{j}}(-\mathbf{k}) \cdot \mathbf{e} | \mathbf{0} \rangle$  and distinguish between the three types of polarization:

- (i)  $\mathbf{e} = \mathbf{e}_x = (1, 0, 0)$  *linear polarization in x direction*
- (ii)  $\mathbf{e} = \mathbf{e}_y = (0, 1, 0)$  *linear polarization in y direction*
- (iii)  $\mathbf{e} = \mathbf{e}_\pm = (1, \pm i, 0)/\sqrt{2}$  *circular polarization (left- / right-handed)*

b) Show that the total absorption rate  $\Gamma(\omega)$  of the quantum dot as a function of the frequency  $\omega$ , which is defined as the sum over the partial absorption rates, is given by

$$\begin{aligned} \Gamma(\omega) &:= \sum_{n_x, n_y, n_z} \Gamma_{(\mathbf{0}) \rightarrow (\mathbf{n})} \\ &= \frac{e^2 \pi}{L^3 c^2 m \hbar} |A|^2 \sum_{n_z} \delta\left(n_z + 1 - \frac{\omega}{\omega_d}\right) \left(\frac{\omega^2}{\omega_d \omega_m}\right)^{\frac{\omega}{\omega_d} - 1} \Gamma\left[\frac{\omega}{\omega_d}\right]^{-1} e^{-\frac{\omega^2}{\omega_d \omega_m}}, \end{aligned} \quad (15)$$

where we introduced  $\omega_m = 2c^2 m / \hbar$  and  $k = \omega / c$ .

### Hints for Exercise 8.2:

a) It may be useful to express  $\hat{\mathbf{r}}$  and  $\hat{\mathbf{p}}$  in terms of the creation and annihilation operators of the states  $|n_i\rangle$ .

Use the Baker-Campbell-Hausdorff formula,

$$\exp\{\hat{A} + \hat{B}\} = \exp\{\hat{A}\} \exp\{\hat{B}\} \exp\left\{-\frac{1}{2} [\hat{A}, \hat{B}]\right\}, \quad (16)$$

which holds if  $[\hat{A}, [\hat{A}, \hat{B}]] = [\hat{B}, [\hat{B}, \hat{A}]] = 0$ .

b) Use the relation

$$n! = \Gamma[n + 1]. \quad (17)$$