EITH Eidgenössische Technische Hochschule Zürich Swiss Federal Institute of Technology Zurich

Exercise 11.1 One-time Pad

Consider three random variables: a message M, a secret key K and a ciphertext C. We want to encode M as a ciphertext C using K with perfect secrecy, so that no one can guess the message from the cipher: I(C:M) = 0.

After the transmission, we want to be able to decode the ciphertext: someone that knows the key and the cipher should be able to obtain the message perfectly, i.e. H(M|C, K) = 0.

Show that this is only possible if the key contains at least as much randomness as the message, namely $H(K) \ge H(M)$.

First note that

$$I(C:M) - I(C:M|K) = I(M:K) - I(M:K|C) = I(K:C) - I(K:C|M),$$

and that mutual information is non-negative. We introduce x = I(C : M|K), y = I(M : K|C) and z = I(K : C|M) and, using I(C : M) = 0, we get

$$x - I(C; M) = x = y - I(M; K) = z - I(K; C).$$
(1)

Using the two conditions, we write

$$H(M) = H(M|C, K) + I(C:M) + I(K:M|C) = y, \text{ and}$$

$$H(K) = H(K|M, C) + I(M:K) + I(M:C|K) \ge y - x + z.$$

However, since $y \ge x$ and $z \ge x$ (from (1)), we get $H(K) \ge H(M)$.

Exercise 11.2 Tightness of secrecy and correctness

Let ρ_{ABE} be the tripartite ccq-state held by Alice, Bob and Eve after a run of a QKD protocol. We showed in the lecture that if the protocol is ε_1 -secret,

$$p_{key}\delta\left(\rho_{AE}^{key}, \tau_A\otimes\rho_E^{key}\right)\leq\varepsilon_1,$$

and ε_2 -correct,

$$\Pr[A \neq B] \leq \varepsilon_2,$$

then the real and ideal systems are $\varepsilon = \varepsilon_1 + \varepsilon_2$ indistinguishable, i.e.,

$$\exists \sigma_E \text{ such that } \pi_A \pi_B(\mathcal{A} \| \mathfrak{Q}) \approx_{\varepsilon} \sigma_E \mathcal{K}.$$
⁽²⁾

Show that if (2) holds for some ε , then the protocol must be ε -correct and 2ε -secret. Tip: you cannot assume that (2) is necessarily satisfied by the same simulator used to prove the converse.

Let $\tilde{\rho}_{ABE}$ be the tripartite state held be the distinguisher after interacting with the ideal system $\sigma_E \mathcal{K}$ for an optimal simulator σ_E , and let Γ be the positive operator which projects the AB system on all states with $A \neq B$. Then

$$\varepsilon \ge \delta(\rho_{ABE}, \tilde{\rho}_{ABE}) \ge \delta(\rho_{AB}, \tilde{\rho}_{AB}) \ge \operatorname{tr}[\Gamma(\rho_{AB} - \tilde{\rho}_{AB})] = \operatorname{Pr}[A \neq B]_{\rho}.$$

The last equality holds because by construction of the ideal key resource \mathcal{K} , tr $(\Gamma \tilde{\rho}_{AB}) = 0$ (for any simulator σ_E).

Let $p_{\text{key}}\rho_{AE}^{\text{key}}$ be the state of the real AE system held by the distinguisher after projecting on the subspace in which a key is generated, and let $\tilde{p}_{\text{key}}\tau_A \otimes \tilde{\rho}_E^{\text{key}}$ be the state of the ideal AE system for the same projection. Note that we cannot assume that $p_{\text{key}} = \tilde{p}_{\text{key}}$ or $\rho_E^{\text{key}} = \tilde{\rho}_E^{\text{key}}$, since we do not know how the simulator σ_E works. Since

$$\varepsilon \ge \delta(\rho_{ABE}, \tilde{\rho}_{ABE}) \ge \delta(p_{\text{key}} \rho_{AE}^{\text{key}}, \tilde{p}_{\text{key}} \tau_A \otimes \tilde{\rho}_E^{\text{key}}) \ge \delta(p_{\text{key}} \rho_E^{\text{key}}, \tilde{p}_{\text{key}} \tilde{\rho}_E^{\text{key}}),$$

we have

$$p_{\text{key}}\delta\left(\rho_{AE}^{\text{key}},\tau_{A}\otimes\rho_{E}^{\text{key}}\right) = \delta\left(p_{\text{key}}\rho_{AE}^{\text{key}},p_{\text{key}}\tau_{A}\otimes\rho_{E}^{\text{key}}\right)$$
$$\leq \delta\left(p_{\text{key}}\rho_{AE}^{\text{key}},\tilde{p}_{\text{key}}\tau_{A}\otimes\tilde{\rho}_{E}^{\text{key}}\right) + \delta\left(\tilde{p}_{\text{key}}\tau_{A}\otimes\tilde{\rho}_{E}^{\text{key}},p_{\text{key}}\tau_{A}\otimes\rho_{E}^{\text{key}}\right)$$
$$\leq \varepsilon + \varepsilon.$$

Exercise 11.3 A min-entropy chain rule

Let ρ_{XZE} be a ccq-state. Show that the following holds:

$$H_{\min}^{\varepsilon}(X|ZE)_{\rho} \ge H_{\min}^{\varepsilon}(X|E)_{\rho} - \log|\mathcal{Z}|$$

Recall that

$$H_{\min}(X|E)_{\rho} := -\log p_{guess}(X|E)_{\rho},$$

$$H_{\min}^{\varepsilon}(X|E)_{\rho} := \max_{\bar{\rho}\in\mathcal{B}^{\varepsilon}(\rho)} H_{\min}(X|E)_{\bar{\rho}},$$

$$\mathcal{B}^{\varepsilon}(\rho) := \{\bar{\rho} : P(\rho, \bar{\rho}) \le \varepsilon\},$$

and that the purified distance $P(\rho, \sigma)$ satisfies the following property. Let $|\varphi\rangle$ be a purification of ρ , then

$$P(\rho, \sigma) = \min_{|\psi\rangle} \delta(|\varphi\rangle, |\psi\rangle),$$

where $|\psi\rangle$ is a purification of σ .

Let $\rho_{XZE} = \sum_{x,z} p_{x,z} |x\rangle \langle x| \otimes |z\rangle \langle z| \otimes \rho_E^{x,z}$ and let $\{\Gamma_x^z\}_x$ be the optimal measurement of the *E* system to guess *x* given that Z = z. A possible strategy for guessing *XZ* given *E* is to pick *z* uniformly at random then apply the measurement $\{\Gamma_x^z\}_x$ to the *E* system. This strategy would succeed with probability

$$\sum_{x,z} p_{x,z} \frac{1}{|\mathcal{Z}|} \operatorname{tr}(\Gamma_x^z \rho_E^{x,z}) = \frac{1}{|\mathcal{Z}|} p_{\operatorname{guess}}(X|ZE)_{\rho}.$$

We thus have

$$p_{\text{guess}}(X|E)_{\rho} \ge p_{\text{guess}}(XZ|E)_{\rho} \ge \frac{1}{|\mathcal{Z}|} p_{\text{guess}}(X|ZE)_{\rho},$$

hence

$$H_{\min}(X|ZE)_{\rho} \ge H_{\min}(X|E)_{\rho} - \log |\mathcal{Z}|.$$

To prove the smooth version, let $\bar{\rho}_{XE} \in \mathcal{B}^{\varepsilon}(\rho_{XE})$ be the state which maximizes $H_{\min}(X|E)_{\bar{\rho}}$. Let $\bar{\rho}_{XZE}$ be an extension of $\bar{\rho}_{XE}$ such that $P(\rho_{XZE}, \bar{\rho}_{XZE}) = P(\rho_{XE}, \bar{\rho}_{XE})$. By the property of the purified distance, such a state is guaranteed to exist. Then

$$H_{\min}^{\varepsilon}(X|ZE)_{\rho} \ge H_{\min}(X|ZE)_{\bar{\rho}} \ge H_{\min}(X|E)_{\bar{\rho}} - \log|\mathcal{Z}| = H_{\min}^{\varepsilon}(X|E)_{\rho} - \log|\mathcal{Z}|.$$

Exercise 11.4 Privacy amplification with smooth min-entropy

A function $F: \{0,1\}^n \times \{0,1\}^d \to \{0,1\}^m$ is a (quantum-proof, strong) (k,ε) -extractor if for all cq states ρ_{XE} with $H_{\min}(X|E) \ge k$ and a uniform Y,

$$\delta\left(\rho_{F(X,Y)YE}, \tau_U \otimes \tau_Y \otimes \rho_E\right) \leq \varepsilon.$$

Show that for any (k, ε) -extractor F, if a cq state ρ_{XE} has smooth min-entropy $H_{\min}^{\overline{\varepsilon}}(X|E) \geq k$, then

 $\delta\left(\rho_{F(X,Y)YE}, \tau_U \otimes \tau_Y \otimes \rho_E\right) \le \varepsilon + 2\bar{\varepsilon}.$

Let $\bar{\rho}_{XE} \in \mathcal{B}^{\bar{\varepsilon}}(\rho_{XE})$ be the state which maximizes $H_{\min}(X|E)_{\bar{\rho}}$. Then

$$\delta\left(\bar{\rho}_{F(X,Y)YE}, \tau_U \otimes \tau_Y \otimes \bar{\rho}_E\right) \leq \varepsilon.$$

Furthermore,

$$\delta(\rho_{F(X,Y)YE}, \bar{\rho}_{F(X,Y)YE}) \leq \delta(\rho_{XE} \otimes \tau_Y, \bar{\rho}_{XE} \otimes \tau_Y) \leq P(\rho_{XE}, \bar{\rho}_{XE}),$$

$$\delta(\tau_U \otimes \tau_Y \otimes \rho_E, \tau_U \otimes \tau_Y \otimes \bar{\rho}_E) \leq P(\rho_{XE}, \bar{\rho}_{XE}).$$

The result follows from two uses of the triangle inequality.