

**Exercise 1. POVMs are the Most General Quantum to Classical Evolutions.**

To motivate why we consider POVMs in quantum information theory, we will show in this exercise that they capture the most general evolution of a quantum system into a classical register. A classical system  $X$ , in the quantum information formalism, is a quantum system (with Hilbert space  $\mathcal{H}_X$ ) which is in a state  $\rho_X$  known to be diagonal in a fixed basis  $\{|x\rangle\}$ .

Let  $\mathcal{E}_{A \rightarrow X} : \mathcal{L}(\mathcal{H}_A) \rightarrow \mathcal{L}(\mathcal{H}_X)$  be a trace-preserving, completely positive map from a (finite dimensional) quantum system  $A$  into a classical register  $X$ .

Show that this evolution is described by a POVM  $\{A_x\}$  with the required properties, i.e. the probability of the (classical) output state to be in  $|x\rangle\langle x|$  is  $\text{tr}(A_x \rho)$ .

The following steps might help you, but it is not mandatory to follow them.

- (a) Argue that  $\mathcal{E}$  has to take the following form:

$$\mathcal{E}_{A \rightarrow X}(\rho) = \sum_x |x\rangle\langle x| f_x(\rho), \quad (1)$$

where  $f_x : \mathcal{L}(\mathcal{H}_A) \rightarrow \mathbb{R}$  is a linear mapping of  $\rho$  onto real numbers.  $f_x(\rho)$  are the eigenvalues of  $\mathcal{E}(\rho)$  in the eigenbasis  $\{|x\rangle\}$  (which is fixed because, remember,  $X$  is a classical register).

**Solution.** Since the output of the channel has to be diagonal in the basis  $\{|x\rangle\}$ , we can write the general expression (1), where  $f_x$  is a function of  $\rho$  giving the eigenvalue of the output of  $\mathcal{E}$  corresponding to the eigenvector  $|x\rangle$ . Since  $\mathcal{E}$  is linear, it follows that  $f_x$  has to be linear.

Also, since the output of  $\mathcal{E}$  is a density operator, the output of  $f_x$  has to be a real number between 0 and 1 (those are the possible eigenvalues of density operators). In addition, by the condition that density operators have unit trace, the values of  $f_x$  for fixed  $\rho$  must sum up to one,  $\sum_x f_x(\rho) = 1$ .

- (b) Argue that  $f_x(\cdot)$  can be written in general as

$$f_x(\cdot) = \text{tr}[A_x(\cdot)], \quad (2)$$

for some hermitian operator  $A_x$ .

*Hints.*  $\text{tr}[A^\dagger B]$  is the Hilbert-Schmidt scalar product in the space of linear operators  $\mathcal{L}(\mathcal{H}_A)$ . Also, what kind of object is  $f_x$ ?

**Solution.** The functional  $f_x$  is an element of the dual space of linear operators on  $\mathcal{H}_A$  by definition (the dual space of the vector space  $V$  is the space of all functionals  $V \rightarrow \mathbb{C}$  on  $V$ ). Any element  $\bar{v}$  of a (finite dimensional) dual space to the vector space  $V$  can be written as a scalar product operation  $\langle v, \cdot \rangle$  with a fixed element  $v$  of the vector space.

Since  $f_x$  is an element of the dual vector space of  $\mathcal{L}(\mathcal{H}_A)$ , then in general there exists an element  $A_x$  of the vector space  $\mathcal{L}(\mathcal{H}_A)$  such that

$$f_x(\cdot) = \langle A_x, \cdot \rangle = \text{tr}(A_x(\cdot)), \quad (\text{S.1})$$

where we recall that the Hilbert-Schmidt product  $\langle A, B \rangle = \text{tr}(A^\dagger B)$  is a scalar product on  $\mathcal{L}(\mathcal{H}_A)$ .

$A_x$  can be assumed to be hermitian because the input to  $f_x$  is always hermitian (density operators are hermitian), so if one had chosen  $A_x$  not hermitian, we could replace it by  $A'_x = \frac{1}{2}(A_x + A_x^\dagger)$  which is obviously hermitian.

- (c) Argue that for all  $\rho$ ,  $f_x$  has to take positive values and that the values for all  $x$  have to sum up to 1,  $\sum_x f_x(\rho) = 1$ . Deduce that  $A_x \geq 0$  and  $\sum_x A_x = \mathbb{1}$ .

**Solution.** We have argued in point (a) that the output of  $f_x$  is a real number between 0 and 1, with  $\sum_x f_x(\rho) = 1$  for any fixed  $\rho$ .

The expression  $\text{tr}(A_x \rho)$  is positive for all  $\rho$  if and only if  $A_x$  is positive semidefinite (otherwise, if for a  $|\psi\rangle$  we had  $\langle \psi | A_x | \psi \rangle < 0$ , then it would follow that  $\text{tr}(A_x |\psi\rangle\langle \psi|) < 0$ ). So  $A_x$  has to be positive semidefinite.

Likewise,  $\sum_x \text{tr}(A_x \rho) = 1$  for all  $\rho$  implies  $\sum_x A_x = \mathbb{1}$ . Indeed, for any basis  $\{|\psi_k\rangle\}$ , we have  $1 = \text{tr}((\sum_x A_x) |\psi_k\rangle\langle \psi_k|) = \langle \psi_k | \sum_x A_x | \psi_k \rangle$ , so that the operator  $\sum_x A_x$  has 1's on its diagonal in any basis. The only operator satisfying this is the identity operator.

- (d) Conclude from points (a)–(c).

**Solution.** We have shown that the operators  $A_x$  have all the necessary properties for forming a POVM (they are positive semidefinite and sum up to the identity). In addition, they correctly reproduce the outcome probabilities (diagonal elements of the output of  $\mathcal{E}$ ) as  $\text{tr}(A_x \rho)$ .

## Exercise 2. Distinguishing two quantum states

Suppose you know the density operators of two quantum states  $\rho, \sigma \in \mathcal{H}_A$ . Then you are given one of the states at random—it may either be  $\rho$  or  $\sigma$ , with probability  $1/2$ . The challenge is to perform a single measurement on your state and then guess which state that is.

- (a) What is your best strategy? In which basis do you think you should perform the measurement? Can you express that measurement using a projector  $Q$ ?

*Hint.* You can use the idea of exercise 1. What are you looking for? What should be the measurement outcome?

**Solution.** We are looking for a strategy to guess either if the state was  $\rho$  or  $\sigma$ , i.e. we need a mapping from the quantum system onto one classical bit of information, “guess  $\rho$ ” or “guess  $\sigma$ ”. However, we have shown in Ex. 1 that the most general mapping of a quantum system to a classical register is precisely a POVM.

Denote the POVM elements by  $Q_\rho$  (for “guess  $\rho$ ”) and  $Q_\sigma$  (for “guess  $\sigma$ ”). We need  $Q_\rho + Q_\sigma = \mathbb{1}$ , so  $Q_\sigma = \mathbb{1} - Q_\rho$  (This is by definition of a POVM, or actually, it is needed in order to conserve probability).

We have reformulated the problem as follows: we are looking for a POVM, with elements  $Q_\rho$  and  $\mathbb{1} - Q_\rho$ , such that the total probability of guessing right is maximized.

The total probability of guessing right is given by

$$\begin{aligned} \text{Pr}[\text{distinguish correctly}] &= \text{Pr}[\rho \text{ is given}] \times \text{Pr}[\text{measure outcome } Q_\rho \text{ from } \rho] \\ &\quad + \text{Pr}[\sigma \text{ is given}] \times \text{Pr}[\text{measure outcome } Q_\sigma \text{ from } \sigma] \\ &= \frac{1}{2} \text{tr}(Q_\rho \rho) + \frac{1}{2} \text{tr}((\mathbb{1} - Q_\rho) \sigma) \\ &= \frac{1}{2} \text{tr}(Q_\rho \rho + \sigma - Q_\rho \sigma) = \frac{1}{2} + \frac{1}{2} \text{tr}(Q_\rho (\rho - \sigma)) . \end{aligned} \tag{S.2}$$

So we need to find the  $Q_\rho$  that maximizes the expression  $\text{tr}(Q_\rho (\rho - \sigma))$ .

Choose a representation in terms of the eigenstates  $|\eta_k\rangle$  of the operator  $\rho - \sigma$ ,

$$(\rho - \sigma) |\eta_k\rangle = \eta_k |\eta_k\rangle . \tag{S.3}$$

Note that the operator  $\rho - \sigma$  is not a density operator. It is, however, hermitian and has trace zero.

We want to maximize the expression

$$\text{tr}(Q_\rho(\rho - \sigma)) = \sum_k \eta_k \text{tr}(Q_\rho |\eta_k\rangle\langle\eta_k|) = \sum_k \eta_k \langle\eta_k|Q_\rho|\eta_k\rangle. \quad (\text{S.4})$$

The maximum value is then obtained with the choice (recall that we have the constraint  $0 \leq Q_\rho \leq \mathbb{1}$ )

$$\langle\eta_k|Q_\rho|\eta_k\rangle = 1 \quad \text{if } \eta_k \geq 0; \quad (\text{S.5})$$

$$\langle\eta_k|Q_\rho|\eta_k\rangle = 0 \quad \text{if } \eta_k < 0. \quad (\text{S.6})$$

Thus our optimal  $Q_\rho$  is the projector onto the eigenspace for the positive eigenvalues of the operator  $\rho - \sigma$ .

The maximization is taken from [Helstrom, C. W., *Quantum Detection and Estimation Theory*, Journal of Statistical Physics, 1(2):231-252, 1969]. In the latter, discussion of quantum hypothesis testing is treated with more generality.

(b) Show that this optimal probability is directly related to the trace distance,

$$\text{Pr}[\text{distinguish correctly}] = \frac{1}{2} [1 + \delta(\rho, \sigma)] . \quad (3)$$

**Solution.** Remember that the trace distance between states  $\rho$  and  $\sigma$  is given by

$$\delta(\rho, \sigma) = \frac{1}{2} \|\rho - \sigma\|_1 = \frac{1}{2} \text{tr}|\rho - \sigma| , \quad (\text{S.7})$$

where  $\|A\|_1 = \text{tr}|A|$  is simply the sum of the absolute values of the eigenvalues of  $A$  (this norm is also called the *Shatten-1 norm*).

We just have to show that the optimal value from point (a) of this exercise satisfies (3).

We know from point (a) that

$$\text{Pr}[\text{distinguish correctly}] = \frac{1}{2} + \frac{1}{2} \text{tr}(Q_\rho(\rho - \sigma)) = \frac{1}{2} + \frac{1}{2} \sum_{k: \eta_k \geq 0} \eta_k . \quad (\text{S.8})$$

On the other hand, we have

$$\delta(\rho, \sigma) = \frac{1}{2} \sum_k |\eta_k| = \frac{1}{2} \left( \sum_{\eta_k \geq 0} \eta_k - \sum_{\eta_k < 0} \eta_k \right) . \quad (\text{S.9})$$

However, since  $\rho - \sigma$  has trace zero, we have  $\sum \eta_k = 0$  and thus  $\sum_{\eta_k < 0} \eta_k = -\sum_{\eta_k \geq 0} \eta_k$ . So

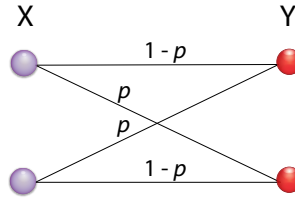
$$(\text{S.9}) = \frac{1}{2} \sum_{\eta_k \geq 0} \eta_k + \frac{1}{2} \sum_{\eta_k \geq 0} \eta_k = \sum_{\eta_k \geq 0} \eta_k . \quad (\text{S.10})$$

Combining with (S.8), we eventually obtain (3).

### Exercise 3. *Classical channels as trace-preserving completely positive maps.*

In this exercise we will see how to represent classical channels as trace-preserving completely positive maps (TPCPMs).

(a) Take the binary symmetric channel  $\mathbf{p}$ ,



Recall that we can represent the probability distributions on both ends of the channel as quantum states in a given basis: for instance, if  $P_X(0) = q$ ,  $P_X(1) = 1 - q$ , we may express this as the 1-qubit mixed state  $\rho_X = q |0\rangle\langle 0| + (1 - q) |1\rangle\langle 1|$ .

What is the quantum state  $\rho_Y$  that represents the final probability distribution  $P_Y$  in the computational basis?

**Solution.** We have

$$P_Y(0) = \sum_x P_X(x)P_{Y|X=x}(0) = q(1 - p) + (1 - q)p$$

$$P_Y(1) = qp + (1 - q)(1 - p),$$

which can be expressed as a quantum state  $\rho_y = [q(1-p)+(1-q)p] |0\rangle\langle 0| + [qp+(1-q)(1-p)] |1\rangle\langle 1| \in \mathcal{L}(\mathcal{H}_Y)$ .

(b) Now we want to represent the channel as a map

$$\mathcal{E}_{\mathbf{p}} : \mathcal{S}(\mathcal{H}_X) \rightarrow \mathcal{S}(\mathcal{H}_Y)$$

$$\rho_X \mapsto \rho_Y.$$

An operator-sum representation (also called the Kraus-operator representation) of a CPTP map  $\mathcal{E} : \mathcal{S}(\mathcal{H}_X) \rightarrow \mathcal{S}(\mathcal{H}_Y)$  is a decomposition  $\{E_k\}_k$  of operators  $E_k \in \text{Hom}(\mathcal{H}_X, \mathcal{H}_Y)$ ,  $\sum_k E_k E_k^\dagger = \mathbb{1}$ , such that

$$\mathcal{E}(\rho_X) = \sum_k E_k \rho_X E_k^\dagger.$$

Find an operator-sum representation of  $\mathcal{E}_{\mathbf{p}}$ .

*Hint.* Think of each operator  $E_k = E_{xy}$  as the representation of the branch that maps input  $x$  to output  $y$ .

**Solution.** We take four operators, corresponding to the four different “branches” of the channel,

$$E_{0 \rightarrow 0} = \sqrt{1 - p} |0\rangle\langle 0|$$

$$E_{0 \rightarrow 1} = \sqrt{p} |1\rangle\langle 0|$$

$$E_{1 \rightarrow 0} = \sqrt{p} |0\rangle\langle 1|$$

$$E_{1 \rightarrow 1} = \sqrt{1 - p} |1\rangle\langle 1|.$$

To check that this works for the classical state  $\rho_X$ , we do

$$\begin{aligned}
\mathcal{E}(\rho_X) &= \sum_{xy} E_{x \rightarrow y} \rho_X E_{x \rightarrow y}^\dagger \\
&= \sum_{xy} E_{x \rightarrow y} \left[ q|0\rangle\langle 0| + (1-q)|1\rangle\langle 1| \right] E_{x \rightarrow y}^\dagger \\
&= (1-p) |0\rangle\langle 0| \left[ q|0\rangle\langle 0| + (1-q)|1\rangle\langle 1| \right] |0\rangle\langle 0| \\
&\quad + p |1\rangle\langle 0| \left[ q|0\rangle\langle 0| + (1-q)|1\rangle\langle 1| \right] |0\rangle\langle 1| \\
&\quad + p |0\rangle\langle 1| \left[ q|0\rangle\langle 0| + (1-q)|1\rangle\langle 1| \right] |1\rangle\langle 0| \\
&\quad + (1-p) |1\rangle\langle 1| \left[ q|0\rangle\langle 0| + (1-q)|1\rangle\langle 1| \right] |1\rangle\langle 1| \\
&= q(1-p) |0\rangle\langle 0| \\
&\quad + qp |1\rangle\langle 1| \\
&\quad + (1-q)p |0\rangle\langle 0| \\
&\quad + (1-q)(1-p) |1\rangle\langle 1| = \rho_Y.
\end{aligned}$$

- (c) Now we have a representation of the classical channel in terms of the evolution of a quantum state. What happens if the initial state  $\rho_X$  is not diagonal in the computational basis?

**Solution.** In general, we can express the state in the computational basis as  $\rho_X = \sum_{ij} \alpha_{ij} |i\rangle\langle j|$ , with the usual conditions (positivity, normalization). Applying the map gives us

$$\begin{aligned}
\mathcal{E}(\rho_X) &= \sum_{xy} E_{x \rightarrow y} \left[ \sum_{ij} \alpha_{ij} |i\rangle\langle j| \right] E_{x \rightarrow y}^\dagger \\
&= (1-p) |0\rangle\langle 0| \left[ \sum_{ij} \alpha_{ij} |i\rangle\langle j| \right] |0\rangle\langle 0| \\
&\quad + p |1\rangle\langle 0| \left[ \sum_{ij} \alpha_{ij} |i\rangle\langle j| \right] |0\rangle\langle 1| \\
&\quad + p |0\rangle\langle 1| \left[ \sum_{ij} \alpha_{ij} |i\rangle\langle j| \right] |1\rangle\langle 0| \\
&\quad + (1-p) |1\rangle\langle 1| \left[ \sum_{ij} \alpha_{ij} |i\rangle\langle j| \right] |1\rangle\langle 1| \\
&= \alpha_{11}(1-p) |0\rangle\langle 0| + \alpha_{11}p |1\rangle\langle 1| \\
&\quad + \alpha_{22}p |0\rangle\langle 0| + \alpha_{22}(1-p) |1\rangle\langle 1|.
\end{aligned}$$

Using  $\alpha_{11} := \alpha, \alpha_{22} = 1 - \alpha$ , we get  $\mathcal{E}(\rho_X) = [\alpha(1-p) + (1-\alpha)p] |0\rangle\langle 0| + [\alpha p + (1-\alpha)(1-p)] |1\rangle\langle 1|$ . The channel ignores the off-diagonal terms of  $\rho_X$ : it acts as a measurement on the computational basis followed by the classical binary symmetric channel.

- (d) Now consider an arbitrary classical channel  $\mathbf{p}$  from an  $n$ -bit space  $X$  to an  $m$ -bit space  $Y$ , defined by the conditional probabilities  $\{P_{Y|X=x}(y)\}_{xy}$ .

Express  $\mathbf{p}$  as a map  $\mathcal{E}_{\mathbf{p}} : \mathcal{S}(\mathcal{H}_X) \rightarrow \mathcal{S}(\mathcal{H}_Y)$  in the operator-sum representation.

**Solution.** We generalize the previous result as

$$\begin{aligned}
\mathcal{E}_{\mathbf{p}}(\rho_X) &= \sum_{x,y} P_{Y|X=x}(y) |y\rangle\langle x| \rho_X |x\rangle\langle x| \\
&= \sum_{x,y} E_{x \rightarrow y} \rho_X E_{x \rightarrow y}^\dagger \quad x \rightarrow y, \quad E_{x \rightarrow y} = \sqrt{P_{Y|X=x}(y)} |y\rangle\langle x|.
\end{aligned}$$

To see that this works, take a classical state  $\rho_X = \sum_x P_X(x) |x\rangle\langle x|$  as input,

$$\begin{aligned}\mathcal{E}_{\mathbf{P}}(\rho_X) &= \sum_{x,y} P_{Y|X=x}(y) |y\rangle\langle x| \left( \sum_{x'} P_X(x') |x'\rangle\langle x'| \right) |x\rangle\langle y| \\ &= \sum_{x,y} P_{Y|X=x}(y) P_X(x) |y\rangle\langle y| \\ &= \sum_y P_y(y) |y\rangle\langle y|.\end{aligned}$$