# QSIT: Theory

#### Quantum Systems for Information Technology Theory Part

Matthias Christandl Quantum Information Theory Institute for Theoretical Physics ETH Zurich



## What is it?

- All-round theory course for quantum information (heavy-theory course given by Prof. Renner)
- target audience: experimental physicists current or future Bachelor/Master/PhD

## 0. Introduction

## Content

- What is Quantum Information and Computation?
- What is Entanglement?
- What is a Bell Inequality?
- What is Quantum Tomography?
- What is Shor's Algorithm?
- What is Quantum Error Correction?

## Testat

- active participation in the course and exercises
- 75% of exercises

## I. Quantum Information

## Information

- Shannon, 1948
- Concept "information" independent of physical implementation



 all physical information can be represented in this way → Information Theory

# Computation

- Turing, 1948
- Concept ,,computation" independent of physical implementation



 Church-Turing thesis: all physical computation can be represented by a Turing machine → Computer Science

## Quantum Mechanics

- Shannon & Turing's notions (1948)
   based on classical physics

   information has always definite value
- Quantum Mechanics (1900s)
   Atoms not governed by classical physics
- State of system  $\leftrightarrow$  wave function

definite measurement values do not exist

Shannon/Turing can in

prior to measurement, in principle! Einstein, Podolsky & Rosen (1935), Bell (1967), Kochen & Specker (1967)

Need for theory of information and computation that applies to QM

#### The Bit

• The bit = unit of information

on/off heads/tails north pole/ south pole • variable  $x \in \{0, 1\}$ 

## The Bit

• random bit

child plays with switch

toss of a coin

travel lottery

random variable X
 range {0,1}



 $p(1) = \operatorname{prob}[X = 1]$ 



## Measuring a Qubit

- Qubit = Bloch vector
- Bloch vector = infinite amount of information



- Can qubit store an infinite amount of information?
- No! Measurement retrieves only one bit!
- State of qubit after measurement = outcome

## Measuring a Qubit

Observable= self-adjoint operator\_\_\_\_\_



but we can choose which!

enclosed angle

#### Qubit

•  $|\phi_0\rangle, |\phi_1\rangle$  orthonormal, i.e. antipodal

 $\Rightarrow$  measure, if state is in one of two antipodes:

- North or south pole?
- Madrid or Wellington?
- Bangkok or Lima?



## Qubit

- State: North pole Measurement: North or south pole? Result: North pole
- State: Copenhagen Measurement: North or south pole? Result: North pole (Cos<sup>2</sup> 35°/2≈91%)
- State: Singapore Measurement: North or south pole? Result: North pole (Cos<sup>2</sup> 90°/2=50%)



$$\begin{array}{c} |\psi\rangle \\ \hline \mathbf{measurement} \\ \mathbf{of A} \\ |\psi\rangle \langle \psi| = i \\ \frac{1}{2} (\mathbf{1} + \vec{r} \cdot \vec{\sigma}) \\ \vec{r} \cdot \vec{\sigma} = r_x \sigma_x + r_y \sigma_y + r_z \sigma_z \\ ||r||_2 = 1 \\ \sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \\ \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

## Mixed qubit

#### Mixed states: the problem

#### Incomplete knowledge of the system:

we may have state  $|\psi_j
angle$  with probability  $|p_j
angle$ 



How to represent our knowledge of the state? Let us see what happens if we measure the state...

Observable	Outcomes	Post-measurement states
A	$\{a_i\}$	$\{ lpha_i angle\}$

#### Mixed states: derivation



Probability of obtaining outcome  $a_i$ 

$$prob[a_i] = \sum_j p_j |\langle \alpha_i | \psi_j \rangle|^2$$
$$= \sum_j tr \left[ |\psi_j \rangle \langle \psi_j | |\alpha_i \rangle \langle \alpha_i | \right]$$
$$= tr \left[ \left( \sum_j p_j |\psi_j \rangle \langle \psi_j | \right) |\alpha_i \rangle \langle \alpha_i | \right]$$
The probability is only dependent on  $\rho$ 

#### Density matrix

#### Incomplete knowledge of the system: we may have state $|\psi_j\rangle$ with probability $p_j$

Description by density matrix

$$\rho = \sum_{j} p_{j} |\psi_{j}\rangle \langle \psi_{j}|$$

Special case of a pure state: perfect knowledge

we have state  $|\psi\rangle\,$  with probability 1

$$\rho = |\psi\rangle \langle \psi|$$



#### Bloch ball



#### Properties of density matrices

In general,

$$\rho \ge 0, \quad \operatorname{tr} \rho = 1$$

positive semidefinite (non-negative eigenvalues)

On the other hand, any state has an eigenvector decomposition

$$\rho = \sum_{i} p_{i} |\psi_{i}\rangle \langle \psi_{i}| \quad \forall \rho \in \mathcal{S}(\mathcal{H})$$

The density matrix describes all the physical properties of a state!

#### How mixed is a state?

Measure of information: purity  $tr(\rho^2)$ 

Examples  $\rho = |\psi\rangle\langle\psi| \quad \Rightarrow \quad \operatorname{tr}(\rho^2) = 1$   $\rho = \frac{1}{2}|0\rangle\langle0| + \frac{1}{2}|1\rangle\langle1| \quad \Rightarrow \quad \operatorname{tr}(\rho^2) = \frac{1}{2}$ 

Other measures: entropies (later...)

# Composed systems

#### Several Qubits

Hilbert space of 1 qubit

$$\mathcal{H}_{1} = \mathbb{C}^{2} = \operatorname{span} \left\{ \left| 0 \right\rangle, \left| 1 \right\rangle \right\} = \operatorname{span} \left\{ \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\}$$

Hilbert space of n Qubits

$$\mathcal{H}_{n} = \mathcal{H}_{1} \otimes \mathcal{H}_{1} \otimes \ldots \otimes \mathcal{H}_{1} = \mathcal{H}_{1}^{\otimes n}$$
$$= \mathbb{C}^{2} \otimes \mathbb{C}^{2} \otimes \ldots \otimes \mathbb{C}^{2} = \mathbb{C}^{2^{\otimes n}}$$
$$= \operatorname{span} \{ |i_{1} \ i_{2} \dots i_{n} \rangle \}_{i_{j} \in \{0,1\}}$$
$$= \mathbb{C}^{2^{n}}$$

#### Example: 2 qubits

$$\begin{aligned} \mathcal{H}_{2} &= \mathbb{C}^{2} \otimes \mathbb{C}^{2} \\ &= \operatorname{span} \left\{ \left| 0 \right\rangle \otimes \left| 0 \right\rangle, \left| 0 \right\rangle \otimes \left| 1 \right\rangle, \left| 1 \right\rangle \otimes \left| 0 \right\rangle, \left| 1 \right\rangle \otimes \left| 1 \right\rangle \right\} \\ &= \operatorname{span} \left\{ \left( \begin{pmatrix} 1 \\ 0 \end{pmatrix} \otimes \left( \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \left( \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \left( \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right) \otimes \left( \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \left( \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \left( \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \left( \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \left( \begin{pmatrix} 0 \\ 0$$

Examples of normalized states

$$|\phi\rangle = |0\rangle \otimes |1\rangle =: |0\rangle |1\rangle =: |01\rangle$$

 $|\psi\rangle = \frac{|01\rangle - |10\rangle}{\sqrt{2}}$ 

simplifying notation

## d-dimensional systems

Hilbert space of dimension d

$$\mathcal{H} = \mathbb{C}^d = \operatorname{span} \{ |0\rangle, |1\rangle, \dots, |d-1\rangle \}$$

Example: 
$$d = 3$$
  
 $\mathcal{H} = \mathbb{C}^3 = \operatorname{span} \{ |0\rangle, |1\rangle, |2\rangle \}$ 

$$|\psi\rangle = \frac{|0\rangle + |1\rangle - |2\rangle}{\sqrt{3}}$$

#### Mixed states on many qubits

#### Example: 2 qubits. Source prepares

- state  $|\phi
angle=|01
angle$  with probability ~p

- state 
$$|\psi
angle=rac{|01
angle-|10
angle}{\sqrt{2}}$$
 with probability  $1-p$ 

Density matrix

$$\begin{split} \rho &= p \ |\phi\rangle\langle\phi| + (1-p) \ |\psi\rangle\langle\psi| \\ &= p \ |01\rangle\langle01| + (1-p) \ \frac{(|01\rangle - |10\rangle)(\langle01| - \langle10|)}{2} \\ &= \frac{1+p}{2} \ |01\rangle\langle01| + \frac{1-p}{2} \ (-|01\rangle\langle10| - |10\rangle\langle01| + |10\rangle\langle10|) \\ &= \frac{1}{2} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1+p & p-1 & 0 \\ 0 & p-1 & 1-p & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \end{split}$$

# Density matrix of many qubits

Mixed state of n qubits can be expanded in terms of Pauli matrices

$$\rho = \frac{1}{2^n} \sum_{i_j \in \{0, x, y, z\}} \underbrace{r_{i_1 \dots i_n}}_{\in \mathbb{R}} \sigma_{i_1} \otimes \dots \otimes \sigma_{i_n} \in \mathcal{M}_{2^n \times 2^n} \text{ with } \sigma_0 = \mathbb{1}$$

analogue of Bloch vector (not all vectors are allowed!)

#### Mixed states by forgetting: partial trace

If we forget (or do not have access to) the state of system B



Density matrix of A is given by the partial trace of  $\rho_{AB}$  over system B

$$\rho_A = \operatorname{tr}_B(\rho_{AB}) = \sum_{k=0}^{|B|-1} \left(\mathbb{1}_A \otimes \langle k|_B\right) \ \rho_{AB} \ \left(\mathbb{1}_A \otimes |k\rangle_B\right)$$

Measurement statistics on A do not change

 $\operatorname{tr}\left(\rho_{AB}|\alpha\rangle\langle\alpha|_{A}\otimes\mathbb{1}_{B}\right)=\operatorname{tr}\left(\rho_{A}|\alpha\rangle\langle\alpha|_{A}\right)$ 



We obtained a mixed state of one qubit from a (pure) state of two qubits by forgetting one qubit!

# Entanglement

#### Schrödinger 1932

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## Schrödinger 1932

The claim that the measurement restricts the  $\frac{9}{2}$ -function to the subspace belonging to the measurement result has the strange consequence that the  $\frac{9}{2}$ -function of a system is changed by the performance of a measurement on a different, far separated system and through the transmission of the message.

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## Schrödinger 1932

If we think of the two systems as a whole the  $\frac{9}{2}$ -function of this joint system is given by

If we couple the systems for a short while and decouple them afterwards, the  $\varphi$ -function acquires the form

where in general  $G_{ke}: G_{km} = G_{ke}: G_{km}$  is not true. There remains a dependence, even if we separate the systems widely.

## Schrödinger 1932

A subsequent measurement of the quantity B on system II transforms the joint  $\varphi$ -function into

which depends on the measured  $\mathcal{Z}_{e}$ . This makes it a bit difficult to view the change in the  $\mathcal{Y}$ -function as a *Naturvorgang*\*

\*the matter becomes even more strange, if we do not measure B on the American system, but if we measure a different, with B non-commuting integral.

### Schrödinger 1932

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### Pure State Entanglement

Two systems A and B, finite-dimensional

$$A \cong \mathbb{C}^d, d \in \mathbb{N}, |A| := d, \qquad B \cong \mathbb{C}^{|B|}$$

Joint system

$$AB := A \otimes B \cong \mathbb{C}^{|A|} \otimes \mathbb{C}^{|B|} \cong \mathbb{C}^{|AB|}$$

 $|\Psi\rangle_{AB} \in AB$  is called **separable** if  $|\Psi\rangle_{AB} = |\psi\rangle_A \otimes |\psi'\rangle_B$ 

otherwise it is called **entangled.** 

**Example:** 
$$|\Psi\rangle_{AB} = |0\rangle_A \otimes |0\rangle_B$$
 separable  
 $|\Psi\rangle_{AB} = \frac{1}{\sqrt{2}} (|0\rangle_A \otimes |0\rangle_B + |1\rangle_A \otimes |1\rangle_B)$  entangled

### Examples

When measuring n qubits one can extract at most n bits of information, Holevo's theorem (Holevo's theorem)

## Mixed-State Entanglement

The density operator  $\rho$  is separable iff it can be decomposed into product states

$$\rho_{AB} = \sum_{i} p_{i} |\psi_{i}\rangle \langle \psi_{i}|_{A} \otimes |\psi_{i}\rangle \langle \psi_{i}|_{B}$$

Equivalent: for some probabilities  $p_i$  and density matrices  $\rho_A^i$  and  $\rho_B^i$ 

$$\rho_{AB} = \sum_{i} p_{i} \rho_{A}^{i} \otimes \rho_{B}^{i}$$
 Werner, 1989

If a state is not separable, we say it is entangled.

### Example: Bell state

The wave function  

$$\psi = \frac{1}{\sqrt{2}} |00\rangle + |11\rangle$$
corresponds to the density operator  

$$\rho = \frac{1}{2} |00 + 11\rangle \langle 00 + 11|$$

$$= \frac{1}{2} (|00\rangle \langle 00| + |00\rangle \langle 11| + |11\rangle \langle 00| + |11\rangle \langle 11|$$

$$= \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \end{pmatrix}$$

which is entangled.

### **Further Examples**

Separable states



Entangled state

$$\begin{pmatrix} \frac{1}{8} & 0 & 0 & \frac{2}{8} \\ 0 & \frac{1}{8} & 0 & 0 \\ 0 & 0 & \frac{1}{8} & 0 \\ \frac{2}{8} & 0 & 0 & \frac{1}{8} \end{pmatrix}$$

## Entanglement Criteria Excursion to current research





### A Hierarchy of Criteria



de Finetti (1937); Diaconis & Freedman; Størmer, Hudson & Moody; Raggio & Werner; Caves, Fuchs & Schack; König & Renner, Christandl, König, Mitchison & Renner (2006)

### An active research field!



How close to separable is  $\rho_{AB}$  if a k-extension is found? How long does it take to check if a k-extension exists?

# Measurements and Time Evolution

### Measurements



Labelling with eigenvalues often convenient, but not necessary

projective set of orthogonal projectors that sum to identity

 $\{P_i\}, P_i = P_i^{\dagger}, P_i^2 = P_i, \sum_i P_i = \mathrm{id}$ 

Is this the most general measurement?



#### 

Example I: Mixture of two projective measurements

$$Q_0 = \frac{1}{2}|0\rangle\langle 0|, Q_1 = \frac{1}{2}|1\rangle\langle 1|, Q_3 = \frac{1}{2}|-\rangle\langle -|, Q_4 = \frac{1}{2}|-\rangle\langle -|$$

with 50% probability measure in z-direction with 50% probability meaure in x-direction

Example 2: Tetrahedron  

$$Q_{i} = \frac{1}{2} |\alpha_{i}\rangle \langle \alpha_{i}| = \frac{1}{2} \frac{1}{2} (\text{id} + \vec{a}_{i} \cdot \vec{\sigma})$$

$$a_{0/1} = \sqrt{\frac{2}{3}} (\pm 1, 0, -\frac{1}{\sqrt{2}}), a_{2/3} = \sqrt{\frac{2}{3}} (0, \pm 1, \frac{1}{\sqrt{2}})$$



#### Time Evolution $e^{-iHt}|\psi\rangle$ $|\psi angle$ time evolution with time-independent Hamiltonian without loss of generality for a fixed amount of time (discretisation) $U_t \rho U_t^{\dagger}$ $\rho$ **Example:** Qubit rotation $U_t = e^{it\vec{e}\cdot\frac{\vec{\sigma}}{2}} \qquad U_t\rho U_t^{\dagger} = \frac{1}{2}(\mathrm{id} + U_t(\vec{r}\cdot\vec{\sigma})U_t^{\dagger}) = \frac{1}{2}(\mathrm{id} + (R_t\vec{r})\cdot\vec{\sigma})$ unit vector Wunderformel $R(\vec{e},t)$ rotations in the Bloch sphere





# Physical Operations as CPTP Maps



### **Operator-Sum Representation**



$$\begin{split} \Lambda(\rho_A) &= \mathrm{tr}_{B'} U(\rho_A \otimes |0\rangle \langle 0|_B) U^{\dagger} = \sum_i \langle i|_{B'} U|0\rangle_B \rho_A \langle 0|_B U^{\dagger} |i\rangle_{B'} \\ &= \sum_i E_i \rho_A E_i^{\dagger} \\ & \mathrm{Kraus\ operators:} \\ & \mathrm{matrices,\ mapping\ A\ into\ A'} \end{split}$$



### Measurements as CPTP maps

for simplicity for projective ones only



Example: z-axis

$$\Lambda(\rho) = (\mathrm{tr}|0\rangle\langle 0|\rho)|0\rangle\langle 0| + (\mathrm{tr}|1\rangle\langle 1|\rho)|1\rangle\langle 1| = \begin{pmatrix} p_0 & 0\\ 0 & p_1 \end{pmatrix}$$

### **Entangled** with Environment



# Distinguishing Quantum States

### Distances

overlap or fidelity for pure states  $|\langle \phi | \psi \rangle|$ 

overlap or fidelity for mixed states  $F(\rho, \sigma) = tr \sqrt{\sqrt{\sigma}\rho\sqrt{\sigma}}$ 

symmetric!



### Application of nonorthogonal states: The first idea for a quantum technology

Wiesner 1970's

This paper treats a class of codes made possible by restrictions on measurement related to the uncertainty principal. Two concrete examples and some general results are given.

Conjugate Coding

Stephen Wiesner

Columbia University, New York, N.Y.

Department of Physics



# II. Quantum Computation



Question:

Are all functions computable by the universal Turing machine?

Answer: No!

Example: the function that asks whether the

Turing machine halts for algorithm X on input 0



## Classical universal set of gates

A set of gates is universal if for all n and for any Boolean function

$$f: \{0,1\}^n \to \{0,1\}$$

can be implemented by a circuit using only gates from the set and ancillas (additional wires with input bit 0).

Theorem: {NAND, FANOUT} form a universal set.



However...

- exp(n) gates are needed to compute an arbitrary function.
- The NAND gate is irreversible.

## **Computational Complexity**

Given a function of input size n, how long does it take to compute it?

Equivalent formulations

How many steps does the Turing machine have to do? How many gates are needed?

### Examples of functions

#### Addition

$$\begin{array}{rcrcr}
 & x_1 x_2 \dots x_n \\
+ & y_1 y_2 \dots y_n \\
\hline
 &= & z_0 z_1 z_2 \dots z_n
\end{array}$$

#### Multiplying and factoring

$$z_1 z_2 \dots z_n = x_1 x_2 \dots x_n \times y_1 y_2 \dots y_n$$
# Examples of complexity

_		_	a claimed
Problem	#gates to solve	#gates to verify	solution
addition given two numbers, what is their sum?	O(n)	O(n)	these are upper bounds
multiplication given two numbers, what is their product?	$O(n^2)$	$O(n^2)$	(sufficient #gates, for the best known
<b>factoring</b> given a number, what are its factors?	$\exp(O(n^{\frac{1}{3}} \times \operatorname{poly}(\log n)))$	$O(n^2)$	algorithms)
$\begin{array}{c} \textbf{3-SAT}\\ \textbf{given an expression}\\ (\bar{x}_1 \lor x_2 \lor x_3) \land (x_2 \lor \bar{x}_3 \lor x_4) \land \dots\\ \textbf{is there an assignment of variables that}\\ \textbf{makes it true?} \end{array}$	$\exp(O(n))$	$\operatorname{poly}(n)$	

## Complexity Classes of Decision Problems

P: functions solved with poly(n) circuits

NP: functions verified with poly(n) circuits

EXP: functions solved with exp(n) circuits

- P is strictly smaller than EXP:
  - # boolean functions with input size  $n: 2^{2^n}$ 
    - (2 possible outputs for each of the  $2^n$  input strings)

# boolean functions implementable with circuit size poly(n): exp(poly(n))



### **Reversible Computation**



#### Quantum computation



### Single-qubit quantum gates

Pauli gates



Elementary rotations around x, y and z axes

(generated by the Pauli matrices)



### Single-qubit quantum gates

#### Phase gate



 $\pi/8$  gate

Hadamard gate

$$\begin{array}{c|c} |0\rangle & & \\ |1\rangle & & \\ \end{array} \begin{array}{c} H & \\ -\rangle \end{array} \end{array} \begin{array}{c} |+\rangle & \\ H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1\\ 1 & -1 \end{pmatrix} \end{array}$$

### Controlled quantum gates

#### Controlled operation





#### Controlled NOT Gate



#### **Example: Controlled Phase Gate**

$$\begin{array}{c} x_1 & - & \\ Controlled \\ x_2 & - & \\ \end{array} \begin{array}{c} - & |x_1\rangle \\ Phase \\ - & (-1)^{x_1}|x_2\rangle \end{array} \qquad CZ = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

### Universal quantum gates

A set of quantum gates is universal if any quantum operation acting on n qubits can be implemented by a circuit using only those gates and ancillas (additional qubits in state  $|0\rangle$ ), for all n.

Theorem: CNOT and universal single qubit gates form a universal set (proof in exercise series 5).



Remark: This set is not finite (we need rotations for all angles). However, it is possible to make a finite gate set approximately universal.

### Quantum complexity classes

BQP is the class of functions  $f^{(n)}: \{0,1\}^n \to \{0,1\}$ that can be computed with poly(n) quantum gates with  $\operatorname{Prob}[success] \geq \frac{2}{3}$ 

Theorem:

If an algorithm obtains the correct result with probability  $\geq \frac{2}{3}$ 

we only need to repeat it  $O(\log(1/\varepsilon))$  times to succeed with probability  $1 - \varepsilon$ .

(proof uses majority vote and law of large numbers)

