# 6 Open Strings and D-Branes

So far we have discussed closed strings. The alternative choice is open boundary conditions.

## 6.1 Neumann Boundary Conditions

Conventionally  $0 \le \sigma \le \pi$  and we have to discuss  $\sigma = 0, \pi$ . Start again in conformal gauge

$$S = \frac{1}{2\pi\kappa^2} \int d^2\xi \, \frac{1}{2} \eta^{ab} \, \partial_a X \cdot \partial_b X.$$

Variation including boundary terms due to partial integration

$$\delta S = \frac{1}{2\pi\kappa^2} \int d^2 \xi \, \eta^{ab} \, \partial_a \delta X \cdot \partial_b X$$
  
=  $\frac{1}{2\pi\kappa^2} \int d^2 \xi \, \partial_a (\eta^{ab} \, \delta X \cdot \partial_b X) - \dots$   
=  $\frac{1}{2\pi\kappa^2} \int d\tau \left( \delta X(\pi) \cdot X'(\pi) - \delta X(0) \cdot X'(0) \right) - \dots$ 

Boundary e.o.m. imply Neumann conditions (alternative later)

$$X'(0) = X'(\pi) = 0.$$

Virasoro constraints

$$X'\cdot \dot{X} = X'^2 + \dot{X}^2 = 0$$

imply that end points move at speed of light  $\dot{X}^2 = 0$ . (no free ends of analogous soap film:  $\dot{X}^2 = 0$  implies  $\dot{X} = 0$ .)

## 6.2 Solutions and Spectrum

Same equations in the string bulk, recycle solution.

**Doubling Trick.** Map two copies of open string to closed string twice as long:  $\sigma \equiv 2\pi - \sigma$ . Gluing condition X' = 0 at  $\sigma = 0, \pi$  implies



Left movers are reflected into right movers at boundary. One copy of oscillators and Virasoro algebra

$$X^{\mu} = x^{\mu} + 2\kappa^2 p^{\mu}\tau + \sum_{n \neq 0} \frac{i\kappa}{\sqrt{2}n} \alpha_n^{\mu} \left( \exp(-in\xi^{\mathrm{L}}) + \exp(-in\xi^{\mathrm{R}}) \right).$$

(momentum p is doubled because  $\sigma$  integration is halved)

**Quantisation.** Analogous to closed strings. Same anomaly conditions D = 26, a = 1 (from bulk). Resulting spectrum (note different prefactor due to p).

$$M^2 = \frac{1}{\kappa^2} \left( N - a \right).$$

Only single copy of oscillators at each level.

- level 0: singlet tachyon (of half "mass").
- level 1: massless vector: Maxwell field.
- level 2: massive spin-2 field  $\square$ .
- ...

Same as discussion for closed string without squaring!

Massless modes are associated to local symmetries:

- of open string are spin-1 gauge fields,
- of closed string are spin-2 gravitation fields.

String Interactions. Open and closed strings interact:

• Two ends of string can join.



Open strings must include closed strings. Different "vacuum" states  $|0;q\rangle_{c}$  and  $|0;q\rangle_{o}$  in same theory.

• Opening of string can be suppressed. Closed string can live on their own.

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String theory always contains gravity; May or may not include gauge field(s).

### 6.3 Dirichlet Boundary Conditions

Now consider compactification for open strings. Almost the same as for closed string. No winding modes because open string can unwind.



T-Duality. What about applying T-duality? Introduce dual fields

$$X' = \dot{\tilde{X}}, \qquad \dot{X} = \tilde{X}'.$$

Boundary conditions translate to

$$X'^{\mu} = 0 \quad (\mu = 0, \dots, 24), \qquad \tilde{X}^{25} = 0.$$

Dirichlet boundary condition for dual coordinate  $\tilde{X}^{25}$ . Corresponds to alternate choice of boundary e.o.m.  $\delta X^{25} = 0$ .



KK modes turn into winding modes:

$$\Delta \tilde{X}^{25} = \int d\sigma \tilde{X}'^{25} = \int d\sigma \dot{X}'^{25} = 2\pi \kappa^2 p_{25} = \frac{2\pi \kappa^2 n}{R} = 2\pi n \tilde{R}$$

Strings start and end at same  $x_0 \equiv x_0 + 2\pi \tilde{R}$ . Note: No momentum  $\tilde{P}_{25}$  because position fixed. Role of KK and winding exchanged.

Dirichlet condition modifies oscillator relation:

$$\alpha_n^{\mathrm{L},25} = -\alpha_n^{\mathrm{R},25}.$$

Although Dirichlet condition  $\tilde{X}^{25} = \text{const.}$  appears unnatural, it has to be part of string theory (on compact spaces).

**D-Branes.** Take seriously.

At boundary can choose:

- Neumann condition  $X'^{\mu} = 0$  or
- Dirichlet condition  $X^{\mu} =$ fixed
- for each direction  $\mu$  individually.

Geometrical picture: String ends confined to Dp-branes.

- p+1 dimensional (p,1) submanifolds of spacetime.
- Dirichlet conditions for D p 1 orthogonal directions.
- Neumann conditions for p+1 parallel directions.
- D-branes can be curved (normal depends on position).

T-duality maps between Dp and  $D(p \pm 1)$  branes.

Pure Neumann conditions are spacetime-filling D-brane.

Strings propagate on backgrounds with D-branes:

- spacetime bulk curvature governs string bulk propagation,
- D-branes govern string end propagation.

Even more: Will continue discussion later.



#### 6.4 Multiple Branes

Can have multiple branes of diverse types. Open strings stretch between two branes.

**Parallel Branes.** Simplest case: Two parallel planar D*p*-branes located at  $X^{25} = 0, d$  in non-compact Minkowski space

$$X^{\mu} = 2\kappa^2 p^{\mu} \tau + \text{modes}, \quad X^{25} = \frac{\sigma d}{\pi} + \text{modes}.$$

Resulting (quantum) mass spectrum in p + 1 dimensions

$$M^{2} = \frac{d^{2}}{4\pi^{2}\kappa^{4}} + \frac{1}{\kappa^{2}}(N-a).$$

- Spin-1 particle at level-1 with mass  $M = d/2\pi\kappa^2$ .
- Vector massless at coincident branes.
- Tachyon for  $d < 2\pi\kappa$ : Instability for nearby D-branes.

Multiple Branes. Consider now N parallel branes.

There are  $N^2$  types of open string (and 1 closed): String vacua distinguished by Chan–Paton factors

$$|0;q;ab\rangle_{o}, \qquad a,b=1,\ldots,N$$

with general mass formula

$$M_{a\bar{b}}^2 = \frac{d_{a\bar{b}}^2}{4\pi^2\kappa^4} + \frac{1}{\kappa^2} \left(N - a\right).$$

Consider vector particles at level 1 with mass  $d_{a\bar{b}}/2\pi\kappa^2$ .

- Always N massless vectors. Gauge symmetry:  $U(1)^N$ .
- K coincident branes contribute  $K^2$  massless vectors. Enhanced gauge symmetry  $U(1)^K \to U(K)$ .
- Massive vectors indicate spontaneously broken symmetries.

Geometric picture of gauge symmetries:

- Stack of N branes have local U(N) symmetry.
- Separating branes breaks symmetry to  $U(K) \times U(N-K)$ .
- Creates 2K(N-K) massive vectors.



Can also produce SO(N) and Sp(N) symmetries: Unoriented strings, strings on orientifolds (spacetime involution paired with orientation reversal).



Brane Worlds. Can design many different situations.

Combine:

- non-compact dimensions,
- D-branes,
- intersections of D-branes and non-compact dimensions,
- orientifold action.

Consider physics:

- along non-compact dimensions,
- within D-branes.

Qualitative features:

- Massless vectors indicate gauge symmetries.
- Light vectors indicate spontaneous symmetry breaking.
- Tachyons indicate instabilities of D-branes or spacetime.

String theory becomes framework analogous to QFT:

- D-brane arrangements and compact directions (discrete),
- moduli for D-branes and non-compact spaces (continuous).

Physics: Try to design the standard model at low energies.

Mathematics: Dualities relate various situations.